

| | | | |
|---|--|-----------------------------|--------------------------|
| Form PTO-1449 | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | ATTY. DOCKET NO. VT-2505 | SERIAL NO. 10/764,039 |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT Sheet Page 1 of 2 | | APPLICANT Barker et al. | |
| | | FILING DATE 1/23/2004 | GROUP |

U.S. PATENT DOCUMENTS

| EXAMINER INITIALS | REF. NO. | DOCUMENT NUMBER | PUBLICATION DATE | NAME OF PATENTEE OR APPLICANT | LOCATION WHERE RELEVANT PASSAGES OR FIGURES APPEAR |
|--|--------------------|--------------------|---------------------|-------------------------------|--|
| /JC/ | 1. | US 5,695,893 B1 | 12/9/1997 | Arai et al. | |
| | 2. | US 5,910,382 B1 | 6/8/1999 | Goodenough et al. | |
| | 3. | US 6,085,015 B1 | 7/4/2000 | Armand et al. | |
| | 4. | US 6,153,333 B1 | 11/28/2000 | Barker | |
| | 5. | US 6,387,568 B1 | 5/14/2002 | Barker et al. | |
| | 6. | US 2002/0192553 A1 | 12/19/2002 | Barker et al. | |
| | 7. | US 2002/0195591 A1 | 12/26/2002 | Ravet et al. | |
| | 8. | US 2003/0027049 A1 | 2/6/2003 | Barker et al. | |
| | 9. | US 2003/0190526 A1 | 10/9/2003 | Saidi et al. | |
| | 10. | US 2003/0190527 A1 | 10/9/2003 | Pugh et al. | |
| | 11. | US 2003/0190528 A1 | 10/9/2003 | Saidi et al. | |
| | 12. | US 2003/0215715 A1 | 11/20/2003 | Barker et al. | |
| /JC/ | 13. | US 2004/0005265 A1 | 1/8/2004 | Chiang et al. | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| EXAMINER | /Jonathan Crepeau/ | | | DATE CONSIDERED | 03/15/2007 |
| *EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; conformance and not considered. Include copy of this form with next communication to applicant. | | | | | |

| | | | |
|--|---|-----------------------------|--------------------------|
| Form PTO-1449 | APR 29 2004 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | ATTY. DOCKET NO. VT-2505 | SERIAL NO. 10/764,039 |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT | | APPLICANT Barker et al. | |
| Sheet Page 2 of 2 | | FILING DATE 1/23/2004 | GROUP 1751 |

FOREIGN PATENT DOCUMENTS

| EXAMINER INITIALS | REF. NO. | DOCUMENT NUMBER | PUBLICATION DATE | NAME OF PATENTEE OR APPLICANT | LOCATION WHERE RELEVANT PASSAGES OR FIGURES APPEAR | T |
|----------------------|-------------|-----------------|---------------------|-------------------------------|---|---|
| /JC/ | 14. | CA 2,200,998 A1 | 9/25/1998 | Armand et al. | | |
| /JC/ | 15. | JP 09-134725 A1 | 5/20/1997 | Okada et al. | | |
| /JC/ | 16. | JP 09-171828 A1 | 6/30/1997 | Koichiro | | |
| /JC/ | 17. | WO 02/27824 A1 | 4/4/2002 | Armand et al. | | |
| | | | | | | |

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

| EXAMINER INITIALS | REF. NO. | |
|----------------------|-------------|---|
| /JC/ | 18. | K.S. Nanjundaswamy et al., "Synthesis, Redox Potential Evaluation and Electrochemical Characteristics of NASICON-related-3D Framework Compounds", Solid State Ionics, vol. 92, pp. 1-10 (1996). |
| /JC/ | 19. | S. Okada, et al., "Fe ₂ (SO ₄) ₃ as a Cathode Material for Rechargeable Lithium Batteries" Proc. Power Sources Conf., 36th, pp. 110-113 (1994). |
| /JC/ | 20. | S. Okada et al., "Characteristics of 3D Framework Cathodes With (XO ₄) ⁻ Polyanions", Progress in Batteries & Battery Materials, Vol. 16, pp. 302 - 308 (1997). |
| /JC/ | 21. | Goodenough et al., "Cathodes for Lithium-Ion Batteries: Some Comparisons", Kenki Kagaku Oyobi Kogyo Butsuri Kagaku Vol. 66, No. 12, pp. 1173-1181 (1998). |
| | | |
| | | |
| | | |

| | |
|--|-------------------------------|
| EXAMINER /Jonathan Crepeau/ | DATE CONSIDERED 03/15/2007 |
| <p>*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; conformance and not considered. Include copy of this form with next communication to applicant.</p> | |